

WATTS, HOFFMANN, FISHER & HEINKE CO., L.P.A.
ATTORNEYS AT LAW

U.S. POSTAL ADDRESS:
P.O. Box 99839
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BUILDING ADDRESS:
1100 Superior Avenue, Suite 1750
Cleveland, Ohio 44114-2518

TELEPHONE (216) 241-6700
FACSIMILE (216) 241-8151

FACSIMILE TRANSMITTAL

To:	Ms. Rita White
Organization:	U.S. PTO - Finance Dept.
Facsimile No.:	703-305-3230
From:	Stephen J. Schultz, Esq.
Date:	January 4, 2002 / 2/15/02
Our File No.:	14-694 - 4254 - Serial No. 09/700,606
No. of pages: (including this cover pg.)	9

MESSAGE:

Please advise me of the status of the Request for Refund which was received by the U.S. PTO on April 5, 2001. Copies of all submitted documents are enclosed for your convenience.

☐ (if checked) A confirmation copy of this transmission will follow via U.S. mail.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT DIVISION

In re PATENT APPLICATION of: USAMA FAYYAD ET AL.

Serial No.: 09/700,606 Examiner: not yet assigned

Filed: 11/15/00 Art Unit: not yet assigned

Docket: 14-694 - 4254

Title: A SCALABLE SYSTEM FOR CLUSTERING OF LARGE
DATABASES MIXED DATA ATTRIBUTES

Watts, Hoffmann, Fisher
& Heinke Co., L.P.A.
1100 Superior Ave., Ste. 1750
Cleveland, Ohio 44114

Commissioner for Patents and Trademarks
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4/2/01
Maria B. Burrell

REQUEST FOR REFUND

Dear Sir:

On the February 2001 deposit account statement for account number 23-0630, we noted numerous charges and credits for this application.

This application is based on a previously filed PCT application. When the national phase U.S. application was filed, a check was sent to the U.S. Patent and Trademark Office that was received on November 15, 2000 in the amount of \$1,002 for the application filing fee. A copy of the check and return postcard is enclosed. This application was filed without a signed declaration. On January 31, 2001 the U.S. Patent and Trademark Office received two checks in the amounts of \$110 and \$130 for filing a Petition for a one month extension of time and for filing the missing Declaration and Power of Attorney from the original filing.

The February 2001 deposit account statement contained two credits, one for \$1046 and one for \$110. Applicant's attorney does not believe that they are entitled to these credits since the filing fee and extension fee was submitted to the PTO unless our deposit account was erroneously charged for these amounts in a previous statement. Also, applicant's attorney respectfully requests an explanation of the inconsistency in the filing fee amount which was sent in to the PTO (\$1002) and the amount credited to our deposit account (\$1046).

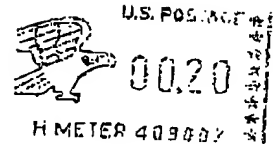
The February 2001 deposit account statement also had one charge for \$130 with the fee code number of 154 which stands for a charge for submitting an Oath or Declaration after the twenty or thirty months from priority date. This second charge should be refunded to deposit account number 23-0630 since a \$130 check was submitted and received by the PTO on January 31, 2001.

Respectfully submitted,

Date March 28, 2001

Stephen Schultz
Stephen J. Schultz
Reg. No. 29,108

Phone: (216)623-0775



WATTS, HOFFMANN, FISHER
& HEINKE CO., L.P.A.
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FILE NO: 14-694 ATTY: SJS
DUE DATE: 06-05-2001 (20010605)
MICROSOFT CORP/INIT: (20010405)
ACTION: 10 RES PER: 2 M DK1
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44114/2518

PATENT APPLICATION

Applicant(s): Microsoft Corp. Attorney Docket No.: 14-694
 Title: Operating System Client: Microsoft
 Serial No.: 09179069 Filed: 1/15/01 Patent No.:
 Mailing Date: 4/10/01 Initials: RS

Receipt is hereby acknowledged of the following:

<input type="checkbox"/> Transmittal (____ pages)	<input type="checkbox"/> Assignment(s) (____ pages)
<input type="checkbox"/> Provisional Application for Patent Cover Sheet	<input type="checkbox"/> Assignment Cover Sheet(s) (____ pages)
<input type="checkbox"/> Request for Filing a Patent Application under 37 CFR 1.53 (b) (____ pages)	<input type="checkbox"/> Small Entity Statement (____ pages)
<input type="checkbox"/> Request for Filing a Patent Application under 37 CFR 1.53 (d) (____ pages)	<input type="checkbox"/> Number of Priority Document(s)
<input type="checkbox"/> Patent Application (____ total pages)	<input type="checkbox"/> Response to Notice to File Missing Parts (____ pages)
<input type="checkbox"/> Provisional, <input type="checkbox"/> New Nonprovisional,	<input type="checkbox"/> Copy of Notice to File Missing Parts Form PTO-533
<input type="checkbox"/> Continuation, <input type="checkbox"/> Divisional, <input type="checkbox"/> CIP,	<input type="checkbox"/> Information Disclosure Statement (____ pages)
<input type="checkbox"/> PCT; <input type="checkbox"/> _____	<input type="checkbox"/> PTO Form 1449 (____ pages)
____ cover page	<input type="checkbox"/> Number of Documents
____ pages of specification	<input type="checkbox"/> Petition for a <input type="checkbox"/> Month Extension of Time
____ pages of number of claims	<input type="checkbox"/> Preliminary Amendment (____ pages)
____ pages of abstract	<input type="checkbox"/> Amendment (____ pages)
<input type="checkbox"/> Drawings (____ sheets)	<input type="checkbox"/> Notice of Appeal (____ pages)
<input type="checkbox"/> Declaration(s) with Power of Attorney (____ pages)	<input type="checkbox"/> Appeal Brief in Triplicate (____ pages each)
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4114+2344

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PATENT APPLICATION

Attorney Docket No.: 14-694

Client: 4254

Applicant(s): Douglas et al.Title: Logic for System, Data AccessSerial No.: 097190406, Filed: 11/15/00, Patent No.:Mailing Date: 1/25/01, Initials: 3 KB

Receipt is hereby acknowledged of the following:

☐ Transmittal (___ pages)☐ Provisional Application for Patent Cover Sheet☐ Request for Filing a Patent Application
under 37 CFR 1.60 (___ pages)☐ Request for Filing a Patent Application
under 37 CFR 1.62 (___ pages)☐ Patent Application (___ total pages)☐ Provisional, ☐ New Nonprovisional,☐ Continuation, ☐ Divisional, ☐ CIP,☐ PCT, ☐ _____

___ cover page

___ pages of specification

___ pages of ___ number of claims

___ pages of abstract

☐ Drawings (___ sheets)☒ Declaration(s) with Power of Attorney
(___ pages)☒ Check(s) in Amount of \$ 110-120-☐ Assignment(s) (___ pages)☐ Assignment Cover Sheet(s) (___ pages)☐ Small Entity Statement (___ pages)☐ ___ Number of Priority Documents☒ Response to Notice to File Missing Parts
(___ pages)☒ Copy of Notice to File Missing Parts
Form PTO-1533☐ Information Disclosure Statement (___ pages)☐ PTO Form 1449 (___ pages)☐ ___ Number of Documents☒ Petition for a ___ Month Extension of Time 240☐ Preliminary Amendment (___ pages)☐ Amendment (___ pages)☐ Notice of Appeal (___ pages)☐ Appeal Brief in Triplicate (___ pages each)☐ Issue Fee Transmittal PTOL-85B & Copy☐ Maintenance Fee Transmittal (___ pages)

JAN 31 2001

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WATTS, ROBERT, 1898-1968

**WATTS, HOFFMANN, FISHER
& HEINKE CO., L.P.A.
SUITE 1750
1100 SUPERIOR AVENUE
CLEVELAND, OHIO 44114-2518**

54

PATENT APPLICATION

Applicant(s): Daoud

Title: Available System
Serial No.: _____
Mailing to: _____

Serial No.:

Mailing Date: 11/13/90, Filed: 11/13/90

☒ Transmittal (2 pages)

☐ Request for Filing a Patent

☐ Request for Extension of Time to File (____ pages)

under 37 CFR 1.62 (4 pages)

☐ Provisional ☒ New

☐ Continuation, ☐ Divisional, ☐ PCT, ☐ New Nonprovisional,

cover page

pages of specification
pages of 39 numbers

1 pages of abstract
drawings (8 sheets)

3 Declaration(s) with Power of Attorney

Back(s) In Amount of \$ 1,000

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Attorney Docket No.: 14-1574

Client: शरद

Patent No.: _____

☐ Assignment(s) (— pages)

☐ Small Entity Statement (_____ pages)

☐ Number of Priority Document(s)

(pages)

Form PTO-1533

Information Disclosure Statement (— pages)
☐ PTO Form 1412

☐ Number of Documents

Preliminary Amendment (— pages)

Notice of Appeal (_____ pages)

Appeal Brief in Triplicate (—)
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